1395428

Telephone Number (Including Area Code)

other (please specify): limited liability company

APR 0 6 2007

**THOMSON** 

FINANCIAL

DE

□ Estimated

(f) FORM D	OMB APPROVAL
UNITED STATES	OMB NUMBER: 3235-0076
THE PROPERTY AND EVOLANCE COMMISSION	Expires: November 30, 2001
Yashington, D.C. 20549	Estimated average burden
2007	hours per response16.00
MAR 2 9 2007 FORM D NOTICE OF SALE OF SECURITIES PURSUANT TO	SEC USE ONLY
REGULATION D, SECTION 4(6), AND/OR	Prefix Serial
SECTION 4(6), AND/OR	
YNIFORM LIMITED OFFERING EXEMPTION	DATE RECEIVED
V	
Name of Offering (□ check if this is an amendment and name has changed, and indicate change.)	
Name of Offering ( check if this is an amendment and name has changed, and indicate change.)  Membership Interests	
	n 4(6) □ ULOE
Membership Interests	on 4(6) □ ULOE
Membership Interests  Filing Under (Check box(es) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Section	
Membership Interests  Filing Under (Check box(es) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Section Type of Filing: ■ New Filing □ Amendment	
Membership Interests  Filing Under (Check box(es) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Section  Type of Filing: ■ New Filing □ Amendment  A. BASIC IDENTIFICATION DAY	
Membership Interests  Filing Under (Check box(es) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Section  Type of Filing: ■ New Filing □ Amendment  A. BASIC IDENTIFICATION DATE  1. Enter the information requested about the issuer	
Membership Interests  Filing Under (Check box(es) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Section  Type of Filing: ■ New Filing □ Amendment  A. BASIC IDENTIFICATION DATE  1. Enter the information requested about the issuer  Name of Issuer (□ check if this is an amendment and name has changed, and indicate change.)	

(Number and Street, City, State, Zip Code)

□ limited partnership, already formed

☐ limited partnership, to be formed

07

Month Year

04

## GENERAL INSTRUCTIONS

Address of Principal Business Operations (if

Actual or Estimated Date of Incorporation or Organization

different from Executive Offices)

Type of Business Organization

□ corporation

□ business trust

## Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 USC 77d(6).

Actual

CN for Canada; FN for other foreign jurisdiction)

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

When to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:

Brief Description of Business: To acquire interests in oil and natural gas properties

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires a payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

		A. BASIC IDENT	IFICATION DATA			
<ul> <li>Each executive officer and dire</li> <li>Each general and managing part</li> </ul>	the issuer has be he power to vot ctor of corporat	e or dispose, or direct the e issuers and of corporate	vote or disposition of, 10		lass of equity securities of the issuer; ship issuers; and	
Check Box(es) that Apply:	■ Promoter	■ Beneficial Owner	☐ Executive Officer	□ Director	■ Managing Member	i
Full Name (Last name first, if individual)						
Ridgewood Energy Corporation						
Business or Residence Address	(Number and	Street, City, State, Zip Co	de)			_
947 Linwood Avenue, Ridgewood, NJ 0'					- 0 1 1/ 1/ 1 1	_
Check Box(es) that Apply:	■ Promoter	■ Beneficial Owner	■ Executive Officer	■ Director	☐ General and/or Managing Partner	
Full Name (Last name first, if individual)						
Swanson, Robert E.						
Business or Residence Address	(Number and	Street, City, State, Zip Co	de)			
947 Linwood Avenue, Ridgewood, NJ 0	7450					
Check Box(es) that Apply:	☐ Promoter	□ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner	_
Full Name (Last name first, if individual)						
,						
Gold, Robert L.  Business or Residence Address	(N) 4 C	Cir. Cir. 2:- C	1			_
business of Residence Address	(Number and 3	treet, City, State, Zip Coo	ie)			
947 Linwood Avenue, Ridgewood, NJ 0	7450					_
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner	
Full Name (Last name first, if individual)						
McSherry, Kathleen						
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)			_
	•		,			
947 Linwood Avenue, Ridgewood, NJ 0						_
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner	_
Full Name (Last name first, if individual)						
Tabor, Greg						
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)			
947 Linwood Avenue, Ridgewood, NJ 0	7450					
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	☐ Director	☐ General and/or Managing Partner	
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Gulino, Daniel	(Niumbar and	Street City Street 7in Co	-1-1			
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)			
947 Linwood Avenue, Ridgewood, NJ 0	7450					
Check Box(es) that Apply:	□ Promoter	□ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner	
Full Name (Last name first, if individual)						
Olin, Mary Lou						
Business or Residence Address	(Number and	Street, City, State, Zip Co	xde)			-
			•			
947 Linwood Avenue, Ridgewood, NJ 0 Check Box(es) that Apply:	7450	□ Repeticial Owner	■ Evecutive Officer	□ Director	General and/or Managing Partner	

(Number and Street, City, State, Zip Code)

Full Name (Last name first, if individual)

947 Linwood Avenue, Ridgewood, NJ 07450

Business or Residence Address

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Business or Residence Address (No One Gateway Center, Suite 350, No		ate, Zip Code	·)							
Name of Associated Broker or Deale Advisory Group Equity Services	r		·			·	·			
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Full Name (Last name first, if indivi	dual)									
Business or Residence Address (Nu		ate, Zip Code	)							
26637 W. Agoura Road, Calabasas	•				<del></del>		<del>_</del>	<del></del> .		
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States in which Person Listed Has Sc	licited or Intends to Solic	it Purchasers								
(Check "All States" or ch	eck individual States)							All States		
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run Name (Last name mst, it marvie	iuai <i>)</i>									
Business or Residence Address (Nu 2300 Windy Ridge Parkway, Atlan		ate, Zip Code	)							
Name of Associated Broker or Deale AIG Financial Advisors Inc.	r							, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, , , •	
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Full Name (Last name first, if individ		_ [01]	_ [ v 1 ]	_ [VA]	- [AV]	_ [ M A ]	_ [ W I)	_ [W 1]	_ [FK]	
Bishop, Benjamin, C., Jr.										
Business or Residence Address (Nu 50 North Laura Street, Suite 3625,	umber and Street, City, St Jacksonville, FL 32202	ate, Zip Code	)							
Name of Associated Broker or Deale Allen C. Ewing & Company	Т							-		
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Mahoney, John T. Business or Residence Address (Number and Street, City, State, Zip Code) 50 North Laura Street, Suite 3625, Jacksonville, FL 32202 Name of Associated Broker or Dealer Allen C. Ewing & Company States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) .... \_ [CA] \_[AL] \_ [AZ] \_ (CO) \_ [ID] \_ [AR] \_ (CT) \_ [DC] \_ (HI) [DE] ■ [FL] **■**[GA] \_ (KY) \_ [IL] \_ [IN] \_ [IA] \_ {KS1 \_ [LA] \_ [MÉ] \_ [Mที่] \_ [MA] \_ (MI) \_ [MN] \_ [MS] \_ [MÓ] \_[NY] [NC] \_ [OR] \_ [ND] \_ [OK] \_ [PA] \_ [MT] \_ [NE] \_ [NV] \_ [NJ] \_ [NM] \_ [OH] [NH] \_ [SC] \_ [SD] \_ [TN] \_ [XX] \_ [UT] \_ (vrj \_ [VAj \_ [WA] \_ (wv) \_ (WI) \_ [wyj \_ [PR] \_ (RI) Full Name (Last name first, if individual) Rachlin, Jeff Business or Residence Address (Number and Street, City, State, Zip Code) The Landmark at Eastview, 777 Old Saw Mill River Road, Tarrytown, NY 10591 Name of Associated Broker or Dealer Alternative Wealth Strategies, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ... ...... \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [DC] \_[FL] \_[GA] \_ [HI] \_ [ID] [DE] ■[CT] \_ [IL] \_ [IN] \_ [KS] \_ [KY] \_ [LA] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MS] \_ [MO] \_ [IA] \_ [ME] \_[NY] \_ [OH] \_ įok) ַ [עעו] \_ [NM] \_ [ND] \_ [PA] \_ [NE] \_ [N] \_ [NC] \_ [VA] \_ [OR] \_ [WY] \_ [MT] \_ [RI] [HN] \_ [NH] \_ [TN] \_ [TX] \_ [VT] \_ [WV] \_ [WI] \_ [PR] \_ [SC] \_ [SD] \_ [UT] \_ [WA] Full Name (Last name first, if individual) Thompson, Allen Business or Residence Address (Number and Street, City, State, Zip Code) 244 Westchester Avenue, White Plains, NY 10604 Name of Associated Broker or Dealer Alternative Wealth Strategies, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_ [AZ] \_ [DC] \_ [ID] \_ [CA] \_ [CO] \_[FL] \_[AL] \_ [AR] [DE] [GA] **■**[HI] [AK] **■**[CT] \_ [DE] \_ [MD] \_ [NC] \_ [IL.] \_ [IN] \_ [IA] \_ (KS) \_ [MA] \_ [KY] ■[NJ] \_ [LA] \_ [MÉ] \_ [MĪ] \_ [MN] \_ [MS] \_ [MÔ] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NM] \_ [NY] \_ [OR] \_ [ND] \_ [OH] [OK] \_ [PA] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [UT] \_ [VA] \_ [WA] \_ (WV) \_ (WI) \_ [WÝ] \_ [PR] \_ [TX] \_ [VT] Full Name (Last name first, if individual) Donehoo, Thomas C. Business or Residence Address (Number and Street, City, State, Zip Code) 116 Federal Street, Boggs Building, Suite 200, Pittsburgh, PA 15212 Name of Associated Broker or Dealer American General Securities Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_[FL] [GA] \_ [HI] [IN] \_ [MI] \_ [IL] \_ [MT] \_ [KS] \_ [NH] \_ [ME] \_ [MŚ1 \_ [IA] \_ [MA] \_ [MN] \_ [MÓ] \_ [KY] \_ [LA] \_ [MD] \_ [NV]

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Full Name (Last name first, if individual) Kotwal, Deepak Business or Residence Address (Number and Street, City, State, Zip Code) 116 Federal Street, Boggs Building, Suite 200, Pittsburgh, PA 15212 Name of Associated Broker or Dealer American General Securities Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [DE1 \_ [GA] \_ (HI) \_ [ID] \_ [CO] \_ [DC] \_[AL] \_ [AZ] \_ [AR] \_ [CA] \_ [CT] [AK] \_ [MN] \_ [KY] \_ [ME] \_ [MD] \_ [Mİ] \_ [MS] \_ (IL) \_ [IN] \_ [lA] \_ (KS) \_ [LA] \_ [MA] [MO] \_ [OH] \_ [NM] \_ [NY] [NC] [VA] \_ [ND] \_ [OR] \_ [MT] \_ [NÝ] \_ [NJ] [OK] [NH] ■[PA] [NE] \_ [RI] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [WA] \_ (wv) \_ [WI] \_ [WY] [SC] \_ [PR] Full Name (Last name first, if individual) Jones, Philip Business or Residence Address (Number and Street, City, State, Zip Code) 7918 Jones Branch Drive, Suite 750, Mclean, VA 22102 Name of Associated Broker or Dealer Cambridge Investment Research, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] [CT] [GA] [DC] [FL] \_ [wo] - [MD] \_ [MI] [MN] \_ [MS] \_ [ME] \_ [MA] \_ [KY] \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [IL] \_ [OR] \_ (MT) \_ (RI) \_ (NH) \_ [NY] \_ [ND] \_ [OH] \_ [PA] [NE] [SC] \_ [NÝ] \_ [NJ] \_ [NM] [NC] [OK] \_ [PR] \_ [WY] ■[VA] \_ [wv] \_ [SD] \_ {WI} \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [WA] Full Name (Last name first, if individual) Perkins, Paul Business or Residence Address (Number and Street, City, State, Zip Code) 400 South Dixie Highway, #220, Boca Raton, FL 33432 Name of Associated Broker or Dealer American Securities Group States in which Person Listed Has Solicited or Intends to Solicit Purchasers ..... 🗆 All States (Check "All States" or check individual States) ..... \_ [GA] \_ [MN] \_ [DC] \_[FL] \_ (HI) \_ [ID] \_ [AR] \_ [CA] [CT] \_[AL] \_ [AZ] [CO] [DE] [AK] \_ [MS] \_ [IN] \_ [KS] \_ [KY] \_ [LA] \_ [MÉ] \_ [MA] \_ [MÎ] \_ [MO] \_ [IL] \_ [IA] ■ [MD] \_ [NM] \_ [NY] \_ {OH) \_ [OK] \_ [OR] \_ [PA] \_ [NĒ] \_ [NÝ] \_ [NH] \_ [NJ] \_ [NC] \_ [ND] \_ [MT] \_ [RI] \_ [SC] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [PR] \_ [SD] \_ [WY] Full Name (Last name first, if individual) Baggerly, Tracy Business or Residence Address (Number and Street, City, State, Zip Code) Doug Carter, 1036 E. Iron Eagle Drive, Eagle, ID 83616 Name of Associated Broker or Dealer American Independent Securities Group States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_{AL] \_ [AZ] \_ [AR] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [GA] \_ (HI) **■**[ID] \_ [IL] [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MÎ] \_ [MN] \_ [MŚ] \_ [MÓ] \_ [OR] \_ [NH] \_ [NM] \_ [NC] \_ [VA] \_ [OH] \_ [OK] \_ [PA] \_ [MT] \_ [NÝ] \_ [NJ] \_ [NY] \_ [ND] \_ [NE] \_ [TN] \_ [UT] \_ [VT] \_ [WA] .\_ [WV] \_ [WY] \_ (PR) \_ [RI] \_ [SC] [WI] \_ [SD] [TX]

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		Address (Nu n Road, Suite				)						
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Full Name (L Harter, Ken		t, if individu	ıal)										
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Name of Asso Calton & As											• • • • • • • • • • • • • • • • • • • •		
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States in wh	ich Person	Listed Has So	licited or Inte	nds to Solici	t Purchasers							
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Full Name ( Harris, Che		first, if individ	ual)									·
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Full Name (Last name first, if individual) Armstrong, James W. Business or Residence Address (Number and Street, City, State, Zip Code) 6403 Knollview Drive, Spring, TX 77389 Name of Associated Broker or Dealer **DH Hill Securities** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... \_ [GA1 \_[AL] \_ [CO] [DE] \_ [DC] \_ (HI) \_ [ID] \_ [AK] \_ [AZ] \_ [AR] \_ [IN] \_ [KY] \_ [ME] \_ [IL] \_ [MN] \_ [IA] \_ [KS] \_ [LA] \_ [MD] \_ [MA] \_ [MI] \_ [MS] \_ [MO] \_ [MT] \_ [NH] \_ [NM] \_ [OH] \_ [NC] \_ [PA] \_ [OR] \_ [NV] [OK] [NE] [NY] INDI [NJ] \_ [SC] \_ [TN] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] \_ [RI] \_ [SD] **■**[TX] Full Name (Last name first, if individual) Geraci, Charles Business or Residence Address (Number and Street, City, State, Zip Code) 12721 Sawmill Road, Suite 100, Rhe Woodlands, TX 77380 Name of Associated Broker or Dealer **DH Hill Securities** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [AK] \_[AL] \_ [AZ] \_[FL] \_ [ID] \_ [AR] \_ [CA] \_ [CO] \_ [DC] [GA] \_ [IN] \_ [ME] \_ [MD] \_ [M1] [MN] \_ [MO] \_ [KS] \_ [LA] \_ [MS] \_ [IL] \_ [IL] \_ [MT] \_ [RI] \_ [IA] \_ [MA] \_ [KY] \_ [NJ] \_ [ND] \_ [NH] \_ [NM] \_ [NY] \_ [OH] \_ [OR] \_ [PA] \_ [NE] \_ [NV] \_ [NC] \_ [VA] [OK] \_ [OK] \_ [WI] \_ [SC] \_ [SD] \_ [PR] \_ [TN] **■**[TX] \_ [UT] \_ [VT] \_ (WY) \_ [WA] [WV]Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 150 East 52nd Street, 3rd Floor, New York, NY 10022 Name of Associated Broker or Dealer Dominick & Dominick, LLC States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States ..... \_{AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CO] \_ [CT] \_ [DE] \_ [DC] ■[CA] **■** [FL] ■[GA] [HI] [ID] \_ [HI] \_ [MS] \_[MO] \_ [MD] \_ [IL] \_ [IN] \_ [IA] \_ [LA] \_ [MÉ] \_ [MA] \_ [MN] \_ [KS] \_ [KY] \_ [MI] \_ [NE] \_ [MT] \_ [RI] \_ [NM] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [PR] \_ [NV] **■**[NH] **■** [NJ] ■[NY] \_ [VAj \_ [SC] \_ [SD] \_ [VT] \_ (wv) \_ [WI] \_ [TN] \_ [TX] \_ [UT] \_ [WA] [WY]

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	<u> </u>		•					_ []				_ [144]
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Full Name (L.	ast name fir	st, if individ	ual)										•
Business or R 4700 South S					te, Zip Code	)							- i
Name of Asso Geneos Weal	ociated Brol	er or Dealer											'
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Full Name (La		st, if individ	ual)										
Business or R D&M Capita										····			ч
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_ [MT]	_ [IN] _ [NE] _ [SC]	_ [IA] _ [NV] _ [SD]	_ [KS] _ [NH] _ [TN]	_ [KY] _ [NJ] _ [TX]	_ [LA] _ [NM] _ [UT]	_ [ME] _ [NY] _ [VT]	_ [MD] _ [NC] _ [VA]	_ [MA] _ [ND] _ [WA]	_ [MI] _ [OH] _ [WV]	_ [MN] _ [OK] _ [Wl]	_ [MS] _ [OR] _ [WY]	_ [MO] _ [PA] _ [PR]	ı
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Full Name Harrison,	(Last name f Jason	irst, if individ	iual)					<u></u>					
			amber and Stro Houston, TX		te, Zip Code	)							
Name of A IMS Secur	ssociated Br	ker or Deale	r										
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_[AL] _[IL] _[MT] _[RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] • [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_[FL] _[MI] _[OH] _[WV]	_[GA] _[MN] _[OK] _[WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]	
Full Name Kopacka,	(Last name f Kim	irst, if individ	iual)										
			imber and Stro pinte Farms,		te, Zip Code	)							
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Full Name	(Last name f	irst, if individ	iual)	•									
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_[AL] ■[IL] _[MT] _[RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	■[CA] _[KY] _[NJ] ■[TX]	_ [CO] _ [LA] _ [NM] _ [UT]	■[CT] [ME] [NY] [VT]	_ [DE] ■ [MD] _ [NC] _ [VA]	_ [DC] ■[MA] _ [ND] ■[WA]	■ [FL] _ [MI] ■ [OH] _ [WV]	_[GA] _ [MN] _ [OK] _ [WI]	_[HI] _[MS] <b>=</b> [OR] _[WY]	_ [ID] _ [MO] ■ [PA] _ [PR]	
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Full Name (Last name first, if individual) Bellucci, Kathleen
Business or Residence Address (Number and Street, City, State, Zip Code) 180 Mirador Street, Henderson, NV 89074
Name of Associated Broker or Dealer Merrimac Corporate Securities, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK]
Full Name (Last name first, if individual) Pizzuti, Stephen
Business or Residence Address (Number and Street, City, State, Zip Code) 951 Market Promenade Avenue, Suite 2100, Lake Mary, FL 32746
Name of Associated Broker or Dealer Merrimac Corporate Securities, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC]
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code) 485 E. US Highway 1 South, Iselin, NJ 08830
Name of Associated Broker or Dealer MetLife Securities
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] ■[FL] _[GA] _[HI] _[ID] ■[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] ■[NJ] _[NM] ■[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WV] _[WV] _[WY] _[PR]
Full Name (Last name first, if individual) Pace, Anthony
Business or Residence Address (Number and Street, City, State, Zip Code) Lindberg & Ripple, Inc., Two Waterside Crossing, Suite 100, Windsor, CT 06095-1567
Name of Associated Broker or Dealer M Holdings Securities, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
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_ [MT] _ [RI]	_ [NE] _ [SC]	_ [NV] _ [SD]	_ [NH] _ [TN]	_ [NJ] _ [TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	[PR]
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_ [IL] _ [MT]	_ (IN] _ (NE)	_ [IA] _ [NV]	_ [KS] ■[NH]	_ [KY] ■[NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	■ [MD] ■ [NC]	_ [MA] _ [ND]	_ [MI] _ [ОН]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] ■{PA]
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Full Name (Last name first, if individual) Skolte, Brian								
Business or Residence Address (Number and Street, City, State, Zip Code) 551 Coon Rapids Boulevard, Coon Rapids, MN 55433								
Name of Associated Broker or Dealer Next Financial Group								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers								
(Check "All States" or check individual States)								
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IN] _[IN] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] ■[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WV] _[WI] _[WY] _[PR]								
Full Name (Last name first, if individual) Westin, Dan								
Business or Residence Address (Number and Street, City, State, Zip Code) 3475 Willow Lake Boulevard, Suite 700, Vadnais Heights, MN 55110								
Name of Associated Broker or Dealer Next Financial Group								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers								
(Check "All States" or check individual States)								
Full Name (Last name first, if individual) Archer, Barbara C.								
Business or Residence Address (Number and Street, City, State, Zip Code) 8860 Ladue road, St. Louis, MO 63124								
Name of Associated Broker or Dealer NFP Securities								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers								
(Check "All States" or check individual States)								
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NV] _[NV] _[NV] _[NV] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[NI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WV] _[WV] _[WI] _[WY] _[PR]								
Full Name (Last name first, if individual) Walk, Mitchell B.								
Business or Residence Address (Number and Street, City, State, Zip Code) Asset Management Partners, 1900 Summit Tower Boulevard, Suite 240, Orlando, FL 32810								
Name of Associated Broker or Dealer NFP Securities								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers								
(Check "All States" or check individual States)								
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC]								

Full Name (Last name first, if individual)									
Business or Residence Address (Number and Street, City, State, Zip Code) P.O. Box 259, Okoboji, IA 51355									
Name of Associated Broker or Dealer Okoboji Financial Services									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_								
(Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] ■[CA] _(CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _ _[IL] _[IN] ■[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] ■[MN] _[MS] _[MO]									
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Full Name (Last name first, if individual)	_								
Wagner, Richard L.  Business or Residence Address (Number and Street, City, State, Zip Code)									
10542 South Jordan Gateway, Suite 310, Salt Lake City, UT 84095									
Name of Associated Broker or Dealer Omni Brokerage									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	-								
(Check "All States" or check individual States)									
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_ [RI] _ [SC] _ [SD] _ [TN] _ [TX] ■[UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [PR]  Full Name (Last name first, if individual)									
Harkavy, Larry									
Business or Residence Address (Number and Street, City, State, Zip Code) Fahnestock & Company, Inc., 700 Veterans Memorial Highway, Suite 120, Hauppauge, NY 11788									
Name of Associated Broker or Dealer Oppenheimer & Co.									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IN] _[IN] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO]									
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Full Name (Last name first, if individual)									
Business or Residence Address (Number and Street, City, State, Zip Code)									
1 Renton Place, Renton, WA 98055									
Name of Associated Broker or Dealer Pacific West Securities									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_								
(Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] _[CA] ■[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] ■[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] ■[MD] _[MA] _[MI] _[MN] _[MS] _[MO]	1								
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Full Name (Last name first, if individual) Wedepohl, Warren									
Business or Residence Address (Number and Street, City, State, Zip Code) 17925 Lau Road, Reedsville, WI 54230									
Name of Associated Broker or Dealer Pavek Investments Inc.									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]									
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Full Name (Last name first, if individual) Kanen, David									
Business or Residence Address (Number and Street, City, State, Zip Code) 20 Gilbert Avenue, Suite 102A, Smithtown, NY 11787									
Name of Associated Broker or Dealer Pointe Capital, Inc.									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	—								
(Check "All States" or check individual States)									
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Full Name (Last name first, if individual) McCall, John									
Business or Residence Address (Number and Street, City, State, Zip Code) 24816 Aden Avenue, Newhall, CA 91312-1207	4								
Name of Associated Broker or Dealer Pointe Capital, Inc.									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] ■[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]									
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Full Name (Last name first, if individual)									
Business or Residence Address (Number and Street, City, State, Zip Code) 4650 SW McAdam Avenue, Portland, OR 97239									
Name of Associated Broker or Dealer									
Private Consulting Group	1								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
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Full Name (Last name first, if individual) Herrig, James Business or Residence Address (Number and Street, City, State, Zip Code) 2355 J.F.K. Road, Dubuque, IA 52002 Name of Associated Broker or Dealer **ProEquities** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... All States \_[AL] \_ [CA] \_ [CO] \_ (FL) \_ [ID] [AZ] [AR] [CT] [DE] [DC] [GA] (HI) [AK] \_ [MN] \_ [IL] \_ [IN] ■[IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [Mi] \_ [MS] \_ [MO] \_ [OR] \_ [OH] \_ [NM] \_ [MT] \_ [NH] \_ [NJ] \_ [PA] \_ [NÝ] [NY] [NC] [NE] [ND] [OK] \_ [RI] \_ (SD) [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VAj \_ [WA] \_ [wvj \_ [WY] \_ [PR] \_ [SC] [WI] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 655 Fairfield Court, Ann Arbor, Ml 48108 Name of Associated Broker or Dealer Questar Capital Corporation States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_ [AZ] \_ [AR] \_ [DC] \_ [HI] \_ [ID] **■**[CO] [DE] [AL] ■[CI] ■ [FL] **■**[GA] [AK] (CA) \_ [[A] \_ [LA] \_ [ME] \_ [MA] \_ [MS] \_ [MO] ■[IL] **■**[IN] [KS] **■**[KY] [MD] ■[MI] **■**[MN] \_ [NM] \_ (MT) \_ (RI) \_ [ทั่ง] \_ [NY] \_ [VT] \_ [NÉ] \_ [NJ] \_ [ND] [OK] [OR] [WY] [PA] ■[NH] INC] **■**[OH] \_ (UT) \_ (wv) \_ (WI) \_ [PR] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [VA] \_ [WA] Full Name (Last name first, if individual) Swanson, Robert Business or Residence Address (Number and Street, City, State, Zip Code) 947 Linwood Avenue, Ridgewood, NJ 07450 Name of Associated Broker or Dealer Ridgewood Securities Corp. States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) ..... ...... \_ [DC] - [HI] \_ [ID] \_ [AK] \_ [AR] \_ [CO] \_ [CT] \_ [AZ] [AL] ■[CA] [DE] **■** [FL] ■[GA] \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [MA] \_ [MI] \_ [MŚ] \_ [MÓ] ■[IL] [KY] [ME] **■**[MD] ■ [MN] ■[NY] \_ [OK] \_ [PA] \_ [MT] \_ [NV] [נא] [NM] \_ [OH] \_ [OR] \_ (NE) \_ [NH] \_ [NC] \_ [ND] \_ [WV] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [UT] \_ [VT] \_ [PR] **■**[TX] **■**[VA] \_ [WA] [WI] \_ [WY] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 2300 Windy Ridge Parkway, Atlanta, GA 30339 Name of Associated Broker or Dealer Royal Alliance & Associates, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_ [iD] \_ [AK] \_ [AZ] \_ [CO] \_ [DC] [AL] [AR] ■[CA] **■**[CT] [DE] [FL] [GA] **■**[HI] **■**[IL] \_ [IN] \_ [1A] \_ [LA] \_ [MA] \_ [MN] \_ (MS) \_ [MÓ] \_ [KY] ■[MD] ■[KS] ■[MI] [ME] \_ [NV] \_ [MT] \_ [N]] \_ [NM] \_ [ND] \_ [OR] \_ [PA] [NE] ■[NH] **■**[NY] ■[NC] **■**[OH] [OK] \_ [VTj \_ [WA] \_ [wv] \_ [WÝ] \_ [PR] \_ [RJ] ■[SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] ■[WI] ■[VA]

Full Name (Last name first, if individual)									
Business or Residence Address (Number and Street, City, State, Zip Code) 4261 Park Road, Ann Arbor, MI 48103									
Name of Associated Broker or Dealer Sigma Financial Corporation									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC]									
Full Name (Last name first, if individual) Burns, Aaron									
Business or Residence Address (Number and Street, City, State, Zip Code) 29772 SW Old Well Road, West Linn, OR 97068									
Name of Associated Broker or Dealer Signature Financial									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
_[AL] _ {AK} _ [AZ] _ [AR} _ [CA] _ [CO] _ [CT] _ [DE] _ [DC] _ [FL] _ [GA] _ [HI] _ [ID] _ [IL] _ [IL] _ [IN] _ [IN] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] _ [MA] _ [MI] _ [MN] _ [MS] _ [MO] _ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] ■ [WA] _ [WV] _ [WI] _ [WY] _ [PR]									
Full Name (Last name first, if individual) Kovaleff, Theodore									
Business or Residence Address (Number and Street, City, State, Zip Code) Sky Capital, 110 Wall Street, 8th Floor, New York, NY 10005									
Name of Associated Broker or Dealer Sky Capital LLC									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IL] _[IN] _[IN] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] _[NI] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WA] _[WV] _[WI] _[WY] _[PR]									
Full Name (Last name first, if individual) Kelly, Richard B.									
Business or Residence Address (Number and Street, City, State, Zip Code) 7377 East Doubletree Ranch Road, Suite 290, Scottsdale, AZ 85258									
Name of Associated Broker or Dealer Source Capital Group, Inc.									
States in which Person Listed Has Solicited or Intends to Solicit Purchasers									
(Check "All States" or check individual States)									
[AL]       [AK]       [AZ]       [AR]       [CA]       [CO]       [CT]       [DE]       [DC]       [FL]       [GA]       [HI]       [ID]         [IL]       [IN]       [IA]       [KS]       [KY]       [LA]       [ME]       [MD]       [MA]       [MI]       [MN]       [MS]       [MO]         [MT]       [NE]       [NV]       [NH]       [NI]       [NY]       [NC]       [ND]       [OH]       [OK]       [OR]       [PA]         [RI]       [SC]       [SD]       [TN]       [TX]       [UT]       [VT]       [VA]       [WA]       [WV]       [WI]       [WY]       [PR]									

Full Name (Last name first, if individual) Graves, Gene Business or Residence Address (Number and Street, City, State, Zip Code) 745 Domingo Drive, #8, Newport Beach, CA 92660 Name of Associated Broker or Dealer Standard Investment Chartered Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [CO] \_ [ID] \_{FL] \_[AL] \_ [AK] \_ [AR] ■[CA] \_ [AZ] [DE] [GA] \_ [MI] \_ [MN] \_ [KY] \_ (MŚ) \_ [MÓ] \_ [MÉ] \_ [MA] \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [IL] [MD] \_ <u>[</u>MT] \_ [אז] \_ [NM] [NC] [VA] \_ [OH] \_ (WV] \_ (OR) [หหา INY [ND] [OK] [PA] (NE) [NV] \_ [WI] į (wý) \_ [PR] \_ [RI] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [WA] [SC] [SD] Full Name (Last name first, if individual) Bernier, Brian Business or Residence Address (Number and Street, City, State, Zip Code) 401 Edgewater Place, Suite 120, Wakefield, MA 01880 Name of Associated Broker or Dealer Stonegate Partners, LLC States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... 0 All States - [HI] \_ [CO] \_(FL) \_ [ID] \_ [AK] \_ [AR] [DE] [DC] [GA] \_[AL] \_ [MD] \_ [MI] \_ [MS] \_ [KY] \_ [LA] [ME] \_ [IN] \_ [KS] \_ [MA] \_ [MO] \_ [IA] \_ [IL] **■**[MN] \_ [NV] \_ [NC] \_ [PA] \_ [MT] \_ [NH] \_ [NM] \_ [NY] \_ [ND] \_ [OH] \_ [OR] \_ [LN] \_ [XX] \_ [OK] (NE) \_ [WA] [WY]\_ [PR] \_ [\$D] \_ [ហា]ំ \_ [VT] \_ [VA] \_ [SC] \_ [wv] \_ [WI] \_ [RI] \_ [TN] Full Name (Last name first, if individual) Doane, John Business or Residence Address (Number and Street, City, State, Zip Code) 401 Edgewater Place, Suite 120, Wakefield, MA 01880 Name of Associated Broker or Dealer Stonegate Partners, LLC States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) ..... \_ [CA] \_ [KY] \_ [NJ] \_ [CO] \_ [HI] \_ [ID] \_[AL] \_ [AR] \_{FL] \_ [GA] \_ [MN] \_ [AZ] [CTI [DE] [DC] [AK] \_ [MD] \_ [MÎ] \_ [MÓ] \_ (IL) \_ [IN] \_ [KS] \_ [LA] ■[MA] \_ [MS] \_ [lA] \_ [ME] \_ [NE] \_ [NV] \_ [NH] [NM] \_[NY] \_ [NC] \_ [ND] \_ [OH] \_ [ok] \_ [OR] \_ [PA] \_ [MT] \_ (wv) \_ [WI] \_ [WÝ] \_ [TX] \_ [VA] [PR] \_ [TN] \_ [UT] \_ [VT] \_ [WA] [RI] [SC] [SD] Full Name (Last name first, if individual) Mara, Jack Business or Residence Address (Number and Street, City, State, Zip Code) 401 Edgewater Place, Suite 120, Wakefield, MA 01880 Name of Associated Broker or Dealer Stonegate Partners, LLC States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) ..... \_ [DE] \_ [CT] \_ [CA] \_ [CO] \_[AL] \_ [AZ] \_ [AR] [DC] ■ [FL] [GA] (HI) [ID] \_[MS] \_[MO] \_ [MN] \_ [IN] \_ [LA] \_ [MÉ] \_ [MD] \_ [MI] \_ (ILL) \_ [IA] \_ [KS] \_ (KY) **■**[MA] \_ [NH] \_ [NM] \_ (он) \_ [OK] \_ [OR] \_ [PA] \_ [NÝ] \_ [NJ] \_ [NY] \_ [ND] \_ [MT] [NE] [NC] \_ [TN] \_ [TX] \_ [UT] \_ [VT] Ĩ[VA] \_ [WV] \_ [WI] \_ [WY] \_ (PR) ■[RI] ■[SC] \_ [SD] \_ [WA]

Full Name (Last name first, if individual) Reinking, Mark									
Business or Residence Address (Number and St. 1715 S. Capital of Texas Highway, Suite 100, A				¥					
Name of Associated Broker or Dealer Sunset Financial Services, Inc.									
States in which Person Listed Has Solicited or Into	ends to Solicit Purchasers								
(Check "All States" or check individual States)									
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Full Name (Last name first, if individual) Nixon, Thomas									
Business or Residence Address (Number and Str 4775 Wallingford Street, Pittsburgh, PA 15213									
Name of Associated Broker or Dealer Thomas M. Nixon & Associates									
States in which Person Listed Has Solicited or Inte	nds to Solicit Purchasers								
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Full Name (Last name first, if individual) Thornhill, Gabe									
Business or Residence Address (Number and Str 336 South Congress, Suite 200, Austin, TX 787		<del></del>		<del></del>					
Name of Associated Broker or Dealer Thornhill Securities		···		···					
States in which Person Listed Has Solicited or Inte	nds to Solicit Purchasers		***************************************						
(Check "All States" or check individua	I States)			s					
_[AL] _[AK] _[AZ] _[AR] _[IL] _[IN] _[IA] _[KS] _[MT] _[NE] _[NV] _[NH] _[RI] _[SC] _[SD] _[TN]	_ [CA] _ [CO] _ [CT] _ [KY] _ [LA] _ [ME] _ [NJ] _ [NM] _ [NY]	_ [MD] _ [MA] _ [NC] _ [ND]	_[FL] _[GA] _[HI] _[MI] _[MN] _[MS] _[OH] _[OK] _[OR] _[WV] _[WI] _[WY]	_ [PA]					
Full Name (Last name first, if individual) Hoogenakker, Jerry									
Business or Residence Address (Number and Str 3440 Toringdon Way, Suite 208, Charlotte, NC									
Name of Associated Broker or Dealer Tower Square Securities									
States in which Person Listed Has Solicited or Inte	nds to Solicit Purchasers		<del></del>						
(Check "All States" or check individua	•		□ All State	S					
_[AL] _[AK] _[AZ] _[AR] _[IL] _[IN] _[IA] _[KS] _[MT] _[NE] _[NV] _[NH] _[RI] _[SC] _[SD] _[TN]	_ [CA] _ [CO] _ [CT] _ [KY] _ (LA] _ [ME] _ [NJ] _ [NM] _ [NY] _ [TX] _ [UT] _ [VT]	_ [MD] _ [MA] ■[NC] _ [ND]	_[FL] _[GA] _[HI] _[MI] _[MN] _[MS] _[OH] _[OK] _[OR] _[WV] _[WI] _[WY]	_ [PA]					

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Name of A	Associated Bro	oker or Dealer									······································	
States in v	vhich Person I											
	(Check "All	States" or ch	eck individua	l States)		,,,,,,,,,,,,				🗖	All States	
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_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS]	_ [KY] _ [NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	_ [MD] _ (NC)	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ (RI)	■[SC]	_ [SD]	_ [NH] _ [TN]	_ [TX]	_ (UT)	_ (VT)	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
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= [RI]	_ [SC]	_ [SD]	_ [TN]	=[TX]	_ (UT)	■[NY] _ [VT]	_ [VA]	_ [ND] _ [WA]	_ [WV]	_ [UK] _ [WI]	= [UK] ■[WY]	_ (PR)
Gagnon, I Business o	or Residence A	Address (Nu	mber and Str				FI 22801					
Gagnon, I Business of Non-Trad Name of A	Dennis or Residence A litional Wealt Associated Bro	Address (Nuth Managemonker or Dealer	mber and Strent, LLC, 30				, FL 32801					
Gagnon, I Business o Non-Trad Name of A USA Fina	Dennis or Residence A litional Wealt Associated Bro ncial Securiti	Address (Nu th Managemo oker or Dealer ies	mber and Strent, LLC, 30	1 E. Pine St	reet, Suite 1		, FL 32801					
Gagnon, I Business o Non-Trad Name of A USA Fina	Dennis or Residence A litional Wealt Associated Bro ancial Securiti which Person I	Address (Nuth Managemoker or Dealer ies	mber and Strent, LLC, 30	nds to Solici	t Purchasers	50, Orlando	·					
Gagnon, I Business of Non-Trad Name of A USA Fina States in w	Dennis  Or Residence A  litional Wealth  Associated Brouncial Security  which Person I  (Check "All	Address (Nu th Managemo oker or Dealer ies Listed Has So States" or che	mber and Strent, LLC, 30	nds to Solici	t Purchasers	50, Orlando					All States	
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Full Name (Last name first, if individual) Siebert, Doug Business or Residence Address (Number and Street, City, State, Zip Code) 1714 Deer Tracks Trail, Suite 215, St. Louis, MO 63131 Name of Associated Broker or Dealer United Securities Alliance, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [CT] \_ [DE] \_ [DC1 \_{GA} \_ [HI] \_ [CA] \_ [CO] \_[AL] \_ [AZ] \_ [AR] [ID] \_ {AK} \_ [MN] \_ (IN) \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MI] \_ [MS] \_ [IL]<sup>\*</sup> **■**[MO] \_ [NM] \_ [NC] \_ [NY] \_ johj ַ [MT] \_ [NV] \_ [NJ] \_ [ND] [OK] \_ [OR] \_ [PA] \_ [NE] [NH] \_ [RI] \_ [SC] \_ [SD] \_ [TNj \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] Full Name (Last name first, if individual) Sheikh, Mohammed Azeem Business or Residence Address (Number and Street, City, State, Zip Code) 880 3rd Avenue, 12th Floor, New York, NY 10022 Name of Associated Broker or Dealer V Finance States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [CA] \_ [CO] \_ [DC] \_[FL] \_ [HI] [GA] [AR] \_[MN] \_ [MO] \_ [M]] \_ [IN] [KS] [MD] \_ [MA] \_ [MS] \_ [KY] \_ [LA] \_ [IL] \_ [lA] [ME] \_ [ND] \_ [OR] \_ (NÉ) \_ [NÝ] \_ [נא] \_ [NM] \_ [NC] \_ [он] \_ [PA] \_ [MT] \_ [RI] [OK] [NH] ■[NY] \_ [WA] \_ [WV] \_ [WY] \_ [PR] \_ [SD] \_ [VA] \_ [wi] \_ [SC] \_ [UT] \_ [VT] \_ [TN] \_ [TX] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 8620 W. 110th Street, Overland Park, KS 66210 Name of Associated Broker or Dealer VSR Financial Services, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States ..... \_ [CO] \_ [AR] \_ [DC] \_ [AK] \_ [CT] \_ [ME] [AL] ■ [DE] **■** [FL] [GA] [HI] [ID] [AZ] ■[CA] \_[MO] \_[MS] \_ [MĎ] \_ [KS] \_ [LA] \_ [MA] \_ [MI] ■[MN] ■[IL] [IN] ■[IA] \_ [KY] ■[NE] ַ [אַעוֹ] \_ (NH) \_ [NM] \_ [NC] \_ (OH) \_ [OK] \_ [OR] \_ [PA] \_ [MT] ■[NJ] ■[NY] INDI \_ [VA] \_ [PR] \_ (RI) \_ [UT] \_ [YT] ■[WA] \_ [WV] \_ [WI] \_ [WY] \_ [SC] \_ [SD] [TN] **■**[TX] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 485 E. Route 1 South, Iselin, NJ 08830 Name of Associated Broker or Dealer Walnut Street Securities States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) ..... \_ [ID] \_[AL] \_ [AZ] \_ [AR] ■[CA] \_ [CO] \_ [CI] \_ [DE] [DC] \_{FL) \_ [GA] \_ [KS] \_ [KY] \_ [ME] \_ [MD] \_ [IL] \_ [IN] \_ [IA] \_ [LA] \_ [MÍ] \_ [MN] ■[MA] [MS] [MO] \_ [OK] \_ [он] \_[NV] \_ [NH] \_ [TN] \_ [NM] \_ [NY] [NC] [VA] \_ [MT] \_ [NE] \_ [NJ] \_ [ND] ■[PA] ■[OR] \_ [RJ] \_ (scj [SD] \_ [VT] \_ [WA] \_ [wvj \_ [WY] \_ [PR] \_ [TX] \_ [UT] [WI]

Full Name (Last name first, if individual) Melanson, Ronald Business or Residence Address (Number and Street, City, State, Zip Code) 1237 Rainbow Drive, Big Fork, MT 59911 Name of Associated Broker or Dealer Waveland Capital Partners LLC States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_[AL] \_ [AZ] \_[GA] \_ [HI] \_ [ID] \_ [CO] [DC] \_[FL] [AR] [DE] \_ [KY] \_ [ME] \_ [MD] \_ [MA] \_ [MN] \_ [MS] \_ [MÓ] \_ (IL) \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [MI] \_ [OH] \_ [NC] \_ [OR] \_ [WY] \_ [PA] \_ [NM] [NE] [ND] [OK] \_ [MT] \_ [NŶ] [NH] [NY] [NJ] \_ [RI] \_ [SD] \_ [TN] \_ [UT] \_ [VT] \_ [VAj [WA] \_ [WV] \_ (PR) ■ [WI] [SC] [TX] Full Name (Last name first, if individual) Bernier, Michael Business or Residence Address (Number and Street, City, State, Zip Code) 675 North 1st Street, Suite 150, San Jose, CA 95112 Name of Associated Broker or Dealer Western International Securities States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ... \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_[FL] \_ [ID] [AL] [GA] \_ [MD] \_ [in] [KS] \_ [KY] \_ [NJ] \_ [ME] \_ [MS] \_ [LA] \_ [MA] \_ [MI] \_ [MN] \_ [MO] \_ [IA] **■**[IL] \_ [ที่งา \_ [NH] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OR] \_ [PA] \_ [MT] \_ [NE] [NM] [OK] \_ [PR] \_ [SD] ■[UT] \_ [VA] \_ [WA] \_ [WV] \_ [WY] \_ [RI] \_ [SC] \_ [TN] \_ [TX] \_ [VT] \_ [WI] Full Name (Last name first, if individual) Harris, William Business or Residence Address (Number and Street, City, State, Zip Code) 1633 W. Owen K. Garriott, Suite B, Enid, OH 73703 Name of Associated Broker or Dealer Williams Financial Group States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States ...... 🗆 - [iD] \_ [AR] \_ [CA] \_[FL] \_[AL] \_ [AZ] [DEI [GA] [HII [CO] [CT] [DC] [AK] \_ [MD] \_ [DC] \_ [MS] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MĪ] \_ [MÓ] \_ [IL] [MN] \_ [ทั้งา \_ [OH] **■**[OK] \_ [OR] \_ [MT] \_ [RI] \_ [NE] \_ [NH] \_ [TN] \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [PA] \_ [ND] \_ [wvj \_ [WÝ] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WI] \_ [PR] [SC] [SD] Full Name (Last name first, if individual) Wlosek, Ken Business or Residence Address (Number and Street, City, State, Zip Code) 479 State Highway 17 – Suite 1, Mahwah, NJ 07430 Name of Associated Broker or Dealer Seward Groves Richard & Wells States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_ [ID] \_[AL] \_ [AZ] \_ [AR] ■[CO] \_ [DE] \_ [DC] ■ [FL] \_ [GA] \_ [HI] \_ [CT] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_[KY] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MŚ] \_ [MO] [ME] ■[LA] ■[NY] \_ [NH] \_ [NÉ] \_ [NÝ] \_ [NM] \_ [NC] \_ [ND] \_ [OH] \_ [OR] \_ [MT] \_ [NJ] [OK] [PA] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [wv] \_ [WÝ] \_ [RI] [WI] \_ [PR]

## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box pand indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Aggregate Offering Price	Amount Already Sold
	Type of Security		
	Debt	S	<b>S</b>
	Equity	<b>\$</b>	s
	□ Common □ Preferred		
	Convertible Securities (including warrants)	<b>s</b>	<b>s</b>
	Partnership Interests	\$	S
	Other (Specify): limited liability company membership interests	\$ <u>91,000,000</u>	\$0
	Total	\$ <u>91,000,000</u>	\$0
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number of toyestors	Aggregate Dollar Amount of Purchases
	Accredited Investors	0	\$ <u>0</u>
	Non-accredited Investors		<b>s</b>
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.	Type of	Dollar Amount
	Type of offering	Security	Sold
	Rule 505		\$
	Regulation A	<u></u>	\$
	Rule 504	•	\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		· <del></del>
	Transfer Agent's Fees	Ö	<b>s</b>
	Printing and Engraving Costs	•	\$10,000
	Legal Fees	•	\$20,000
	Accounting Fees	•	\$4,000
	Engineering Fees	•	\$20,000
	Sales Commissions (specify finders' fees separately)	•	\$6,890,000
	Other Expenses (identify) filing fees, miscellaneous operating and marketing costs	•	\$ <u>2,424,500</u>
	Total	_	\$ 9,404,500

	C. OFFERING PRIC	CE, NUMBER OF INVESTORS, EX	PENSES AN	D USE OF PROCEEDS			
	b. Enter the difference between the aggregate offer 1 and total expenses furnished in response to Part C "adjusted gross proceeds to the issuer."	C - Question 4.a. This difference is the	3			\$ <u>81,595,500</u>	
5.	Indicate below the amount of the adjusted gross profer each of the purposes shown. If the amount for and check the box to the left of the estimate. The tadjusted gross proceeds to the issuer set forth in respectively.	stimate the					
				Payments to Officers, Directors, & Affiliates		Payments To Others	
	Salaries and fees		•	\$ <u>3,120,000</u>	Q	\$	
	Purchase of real estate			<b>s</b>		\$	
	Purchase, rental or leasing and installation of mach	inery and equipment		\$	a	<b>s</b>	
	Construction or leasing of plant buildings and facil	ities	٥	\$	a	\$	
	Acquisition of other business (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a			r		•	
	merger)		Ġ	<b>3</b>	0	<b>3</b>	
	Repayment of indebtedness		•	\$		<b>S</b>	
	Working capital			<b>S</b>		s	
	Other (specify): to acquire interests in oil and gas properties, drilling and development costs			\$	•	\$ <u>76,200,500</u>	
	reserve for administrative overhead		•	\$ <u>2,275,000</u>		<b>s</b>	
	Column Totals	,		\$ <u>5,395,000</u>		\$ <u>76,200,500</u>	
	Total Payments Listed (column totals added)	_	■ \$ <u>81,595,500</u>				
		D. FEDERAL SIGNAT	URE	.,			
an t	issuer has duly caused this notice to be signed by the indertaking by the issuer to furnish to the U.S. Securi-accredited investor pursuant to paragraph (b)(2) of the control of the cont	ities and Exchange Commission, upon	If this notice i	s filed under Rule 505, the t of its staff, the information	following s n furnished	ignature constitutes by the issuer to any	
	er (Print or Type) Iker Ridge Company, LLC	Signature	Je.	Date March 23, 2007			
Nar	ne of Signer (Print or Type)	Title of Signer (Print or Type)					
	na Valdes	Vice President of the Manager					
	I						

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

